Day : Tuesday Date: 9/21/2004

Time: 09:26:18



## PALM INTRANET

## **Inventor Information for 10/743740**

Inventor Name	City	State/Country
ПЅНІ, ЕПСНІ	OSAKA	JAPAN
IMAMIYA, YOSHIYUKI	OSAKA	JAPAN
Applin Info Contents Petition Info	Atty/Agent Info	Continuity Data Foreign Data
Search Another: Application# Search		atent# Search
PCT //	Search Search	UBS #
Attorney Docket #		Search

To go back use Back button on your browser toolbar.

Back to PALM | ASSIGNMENT | OASIS | Home page

L Number	Hits	Search Text	DB	Time stamp
1	163	540/578.ccls.	USPAT	2004/09/21 09:25
2	84	540/578.ccls. and anhydrous\$	USPAT	2004/09/21 09:25

L1

STR

Structure attributes must be viewed using STN Express query preparation.

=> s 11

SAMPLE SEARCH INITIATED 09:42:44 FILE 'REGISTRY' SAMPLE SCREEN SEARCH COMPLETED - 232 TO ITERATE

100.0% PROCESSED

232 ITERATIONS

2 ANSWERS

SEARCH TIME: 00.00.01

FULL FILE PROJECTIONS: ONLINE \*\*COMPLETE\*\*

BATCH \*\*COMPLETE\*\* 5553

PROJECTED ITERATIONS:

3727 TO

PROJECTED ANSWERS:

2 TO 124

L2

2 SEA SSS SAM L1

=> s l1 sss full

FULL SEARCH INITIATED 09:42:50 FILE 'REGISTRY' FULL SCREEN SEARCH COMPLETED - 4747 TO ITERATE

100.0% PROCESSED 4747 ITERATIONS 29 ANSWERS

SEARCH TIME: 00.00.01

L3

29 SEA SSS FUL L1

=> file caplus

COST IN U.S. DOLLARS

SINCE FILE TOTAL

> ENTRY SESSION

155.63 155.42

FULL ESTIMATED COST

FILE 'CAPLUS' ENTERED AT 09:42:56 ON 21 SEP 2004 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2004 AMERICAN CHEMICAL SOCIETY (ACS)

10/743,740

Page 4

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FILE COVERS 1907 - 21 Sep 2004 VOL 141 ISS 13 FILE LAST UPDATED: 20 Sep 2004 (20040920/ED)

This file contains CAS Registry Numbers for easy and accurate substance identification.

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=> s 13
L4 391 L3
=> s 14 and (anhydrou? or crystal?)
L5 12 L4 AND (ANHYDROU? OR CRYSTAL?)
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=> d ibib abs hitstr tot

L5 ANSWER 1 OF 12 CAPLUS COPYRIGHT 2004 ACS On STN ACCESSION NUMBER: 2003:570816 CAPLUS DOCUMENT NUMBER: 139:138735

DOCUMENT NUMBER: TITLE:

139:138:735
Sedative non-benzodiazepine formulations
O'Toole, Edel; Fogarty, Siobhan
Biovail Laboratorice Inc., Barbados
PCT Int. Appl., 59 pp.
CODEN: PIXXD2 INVENTOR(S): PATENT ASSIGNEE(S): SOURCE:

DOCUMENT TYPE: Patent English LANGUAGE:

FAMILY ACC. NUM. COUNT: PATENT INFORMATION:

PATI	ENT :	NO.			KIN	D	DATE			APPL	ICAT	ION	NO.		D.	ATE	
						-									-		
WO :	2003	0593	49		A1		2003	0724	1	WO 2	- 600	IE1			2	0030	109
	₩:	AE,	AG,	AL,	AM,	AT,	AT,	AU,	AZ,	BA,	BB,	BG,	BR,	BY,	BZ,	CA,	CH,
		CN,	co,	CR,	CU,	CZ,	CZ,	DE,	DE,	DK,	DK,	DM,	DZ,	EC,	EE,	EE,	ES,
		FI,	FI,	GB,	GD,	GE,	GH,	GM,	HR,	HU,	ID,	IL,	IN,	IS,	JP,	KE,	KG,
		KP,	KR,	KZ,	LC,	LK,	LR,	LS,	LT,	LU,	LV,	MA,	MD,	MG,	MK,	MN,	MW,
		MX,	MZ,	NO,	NZ,	OM,	PH,	PL,	PT,	RO,	RU,	SC,	SD,	SE,	SG,	SK,	SK,
		SL,	TJ,	TM,	TN,	TR,	TT,	TZ,	UA,	UG,	US,	UZ,	VC,	VN,	YU,	ZA,	ZM,
		ZW,	AM,	AZ,	BY												
	RW:	GH,	GM,	KE,	LS,	MW,	MZ,	SD,	SL,	SZ,	TZ,	UG,	ZM,	ZW,	AT,	BE,	BG,
		CH,	CY,	CZ,	DE,	DK,	EE,	ES,	FI,	FR,	GB,	GR,	HU,	ΙE,	IT,	LU,	MC,
		NL,	PT,	SE,	SI,	SK,	TR,	BF,	BJ,	CF,	CG,	CI,	CM,	GA,	GN,	GQ,	GW,
		ML,	MR,	NE,	SN,	TD,	TG										
HORITY	APP	LN.	INFO	. :					1	US 2	002-	3466	13P		P 2	0020	110

The invention provides for an enhanced absorption pharmaceutical composition

comprising a plurality of microparticles, each microparticle comprising

least one sedative non-benzodiazepine, at least one spheronisation aid, and at least one solubility enhancer. The microparticles of the invention are further incorporated into an oral fast-dispersing dosage form. For example, microparticles were prepared containing zolpidem tartrate 15%,

Gelucire
50/13 35%, and distilled monoglyceride (Myvaplex) 50%. Microparticles obtained were then coated for taste masking with a coating solution

obtained were then coated for taste masking with a coating solution containing a 60:30:10 ratio of Eudragit NE3UD, talc, and Methocel. The coated microparticles were used for preparation of tablets.

IT 85650-52-8. Mirtazapine RL: PET (Pharmacokinetics); THU (Therapeutic use); BIOL (Biological study); USES (Uses) (preparation of microparticles for enhanced oral bioavailability of non-benzodiazepine sedatives)

RN 85650-52-8 CAPLUS (CA Pyzazino[2,1-a]pyrido[2,3-c][2]benzazepine, 1,2,3,4,10,14b-hexahydro-2-methyl- (9CI) (CA INDEX NAME)

ANSWER 2 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN

ACCESSION NUMBER: DOCUMENT NUMBER: TITLE:

2003:282146 CAPLUS 138:304301

Novel synthesis and crystallization of piperazine ring-containing compounds such as

mirtazapine

Singer, Claude; Liberman, Anita; Finkelstein, Nina INVENTOR(S): PATENT ASSIGNEE(S): Israel
U.S. Pat. Appl. Publ., 9 pp., Cont.-in-part of U.S.
Ser. No. 552,485.
CODEN: USXXCO

SOURCE:

Patent English 2

LANGUAGE: FAMILY ACC. NUM. COUNT: PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
US 2003069417	A1	20030410	US 2002-206344		20020729
US 2001051718	A1	20011213	US 2001-900646		20010706
US 6545149	B2	20030408			
US 2003088094	A1	20030508	US 2002-283093		20021030
US 6576764	B2	20030610			
US 2003120068	A1	20030626	US 2003-348757		20030123
US 2003135043	A1	20030717	US 2003-368441		20030220
US 2004176591	A1	20040909	US 2004-800918		20040316
PRIORITY APPLN. INFO.:			US 1999-130047P	P	19990419
			US 2000-182745P	P	20000216
			US 2000-552485	A2	20000418
			US 2001-900646	A3	20010706
			US 2002-283093	А3	20021030
			US 2003-368441	B1	20030220
OTHER SOURCE(S):	CASRE	ACT 138:3043	01; MARPAT 138:30430	ι	

ANSWER 1 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN

REFERENCE COUNT

THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE

FORMAT

ANSWER 2 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN (Continued)

Mirtazapine (I) was prepared by reacting substituted pyridine II [R1 = CH2OH, CH2C1, CH2Br, CH2I; R2 = NH2] with compound III [R3 = C1, F, Br, AB

followed by treating the resulting piperazine IV with ring closing reagent, such as H2SO4. The mirtazapine intermediate IV (R1 = CO2H) may be prepared by hydrolyzing IV (R1 = CN) with KOH at a temperature of at

about 140°C. New processes for recrystn. of I form crude mirtaxapine are also disclosed. The present invention also relates to crystalline adducts of mirtaxapine and water, preferably containing up to about 3.5% by weight water, pharmaceutical compns. containing the cryst adducts, and methods of treating depression by administering such

compns. 341512-90-1P RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES

(preparation and crystallization of mirtozapine water adduct)
341512-90-1 CAPUS
Pyrazino[2,1-a]pyrido[2,3-c][2]benzazepine, 1,2,3,4,10,14b-hexahydro-2-methyl-, hydrate (9CI) (CA INDEX NAME)

L5 ANSWER 2 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN (Continued)

●x H<sub>2</sub>O

85550-52-8P, Mirtazapine RL. IMF (Industrial manufacture); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES

(preparation and crystallisation of piperazine ring-containing compde. such as

de. such as mirtzazpine) 85650-52-8 CAPLUS Pyrazino[2,1-a]pyrido[2,3-c][2]benzazepine, 1,2,3,4,10,14b-hexahydro-2-methyl- (9CI) (CA INDEX NAME)

ANSWER 3 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN (Continued)

REFERENCE COUNT: FORMAT

THERE ARE 1 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE

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LS ANSWER 3 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN
ACCESSION NUMBER: 2002:695977 CAPLUS
DOCUMENT NUMBER: 137:216962
INVENTOR(S): Methode for the preparation of mirtazapine intermediates
Metzger, Leonid; Wizel, Shlomit
PATENT ASSIGNEE(S): Metzger, Leonid; Wizel, Shlomit
Teva Pharmaceutical Industries Ltd., Israel; Teva Pharmaceuticals USA, Inc.
PCT Int. Appl., 12 pp.
CODEN: PIXXD2
DOCUMENT TYPE: Patent
LANGUAGE: Patent
LANGUAGE: English
FAMILY ACC. NUM. COUNT: 1
```

DOCUMENT TYPE: LANGUAGE: FAMILY ACC. NUM. COUNT: PATENT INFORMATION:

	PATENT NO.						DATE						NO.			ATE	
	2002						2002						40			0020	
WO	2002	0705	13		C2		2002	1121									
	W:	ΑE,	AG,	AL,	AM,	AT,	AU,	AZ,	BA,	BB,	BG,	BR,	BY,	BZ,	CA,	CH,	CN,
		CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EC,	EE,	ES,	FI,	GB,	GD,	GE,	GH,
		GM,	HR,	HU,	ID,	IL,	IN,	IS,	JP,	KE,	KG,	KP,	KR,	KZ,	LC,	LK,	LR,
		LS,	LT,	LU.	LV,	MA,	MD,	MG,	MK,	MN,	MW,	MX,	MZ,	NO,	NZ,	OM,	PH,
		PL,	PT,	RO,	RU,	SD,	SE,	SG,	SI,	SK,	SL,	TJ,	TM,	TN,	TR,	TT,	TZ,
		UA,	UG,	US,	UZ,	VN,	YU,	ZA,	ZM,	ZW,	AM,	AZ,	BY,	KG,	KZ,	MD,	RU,
		TJ,	TM														
	RW:	GH,	GM,	KE,	LS,	MW,	MZ,	SD,	SL,	SZ,	TZ,	UG,	ZM,	ZW,	AT,	BE,	ÇH,
		CY,	DE,	DK,	ES,	FI,	FR,	GB,	GR,	IE,	IT,	LU,	MC,	NL,	PT,	SE,	TR,
		BF,	ВJ,	CF,	CG,	CI,	CM,	GA,	GN,	GQ,	GW,	ML,	MR,	NE,	SN,	TD,	TG
US	2002	1652	38		A1		2002	1107	1	US 2	002-	7396	0		2	0020	214
us	6774	230			B2		2004	0810									
EP	1370	549			A1 20031217				EP 2	002-	7148	93		2	0020	214	
	R:	AT,	BE,	CH,	DE,	DK,	ES,	FR,	GB,	GR,	IT,	LI,	LU,	NL,	SE.	MC.	PT,
		IE,	SI,	LT,	LV,	FI,	RO,	MK,	CY,	AL,	TR						
PRIORIT	APP											2726	99P		P 2	0010	301

OTHER SOURCE(S):

R SOURCE(s): CASREACT 137:216962
The preparation of 1-(3-carboxy-2-pyridyl)-4-methyl-2-phenylpiperazine dihydrate (1) and other mirtazapine intermediates are described. These compds. are particularly useful in the preparation of mirtazapine. Thus, 1-(3-cyano-2-pyridyl)-4-methyl-2-phenylpiperazine was hydrolyzed with huss aqueous

WO 2002-US4340

W 20020214

KOH, neutralized with HCl and the precipitate washed with water to give I whose

ose
crystal structure is reported.
85650-52-8P, Mirtazapine
RL: IMP (Industrial manufacture); SPN (Synthetic preparation); PREP
(Preparation)

(Preparation)
(preparation of 1-(3-carboxy-2-pyridyl)-4-methyl-2-phenylpiperazine dihydrate as an intermediate for mirtazapine)
85650-52-8 CAPUS
Pyrazino(2,1-a|pyrido(2,3-c|[2]benzazepine, 1,2,3,4,10,14b-hexahydro-2-methyl- (9CI) (CA INDEX NAME)

ANSWER 4 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN
SSION NUMBER: 2002:406942 CAPLUS
E: Process for the manufacture of anhydrous,
solvent-free mirtazapine crystals
NTASSIGNEE(S): Sumika-Fine Chemicals Co., Ltd., Japan
Eur. Pat. Appl., 1 0 pp.
CODEN: EPXXDW
MENT TYPE: Patent ACCESSION NUMBER: DOCUMENT NUMBER: TITLE:

INVENTOR(S): PATENT ASSIGNEE (S): SOURCE:

DOCUMENT TYPE:

FAMILY ACC. NUM. COUNT: PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
EP 1209159	A2	20020529	EP 2001-111102	20010508
EP 1209159	A3	20030305		
R: AT, BE, CH,	DE, DK,	ES, FR, GB,	GR, IT, LI, LU, NL,	SE, MC, PT,
IE, SI, LT,	LV, FI,	RO, MK, CY,	, AL, TR	
US 2002065413	A1	20020530	US 2001-842871	20010427
US 6660730	B2	20031209		
AU 2001040301	A5	20020606	AU 2001-40301	20010430
JP 2002220390	A2	20020809	JP 2001-291863	20010925
PRIORITY APPLN. INFO.:			JP 2000-359891	A 20001127

OTHER SOURCE(S): CASREACT 136:401782

Methods for producing ashydrous mirrazapine crystals that either (1) substantially free of lower aic. insolubles or (2) substantially free of residuel solvent, and which have an average

aubstantially free of residual solvent, and which have an average particle

diameter of from 10-50 µm, are described where: one filters a lower alc. (e.g., methanol) solution of crude mirtazapine to provide a filtrate; concentrating

the filtrate to provide a concentrated filtrate; and crystallising the anhydrous mirtazapine from the concentrated filtrate using a precipitation solvent

selected from heptane and petroleum ethers.

IT 85450-52-8P, Mirtazapine

RL: IMF (Industrial manufacture); PEP (Physical, engineering or chemical process); PRP (Properties); PUR (Furification or recovery); PYP (Physical process); PRP (Preparation); PROC (Process)

(process for the manufacture of anhydrous solvent-free mirtazapine crystals)

RN 8650-52-8 CAPLUS

CN Pyrazino(2,1-a)pyrido(2,3-c)[2]benzazepine, 1,2,3,4,10,14b-hexahydro-2-methyl- (9CI) (CA INDEX NAME)



L5 ANSWER 4 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN

(Continued)

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REPERENCE COUNT: 27 THERE ARE 27 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE
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ANSWER 5 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN

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LS ANSWER 5 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN
ACCESSION NUMBER: 2001:880108 CAPLUS
DOCUMENT NUMBER: 136:265247

TITLE: Spectroscopic methods for determining enantiomeric purity and absolute configuration in chiral pharmaceutical molecules
Shah, Rekha D.; Nafie, Laurence A.

AUTHOR(S): Shah, Rekha D.; Nafie, Laurence A.

FOR CORPORATE SOURCE: The RW Johnson Pharmaceutical Research Institute, Spring House, PA, 19477-0776, USA
CUrrent Opinion in Drug Discovery & Development (2001), 4(6), 746-775
COBEN: CODEPF; ISSN: 1367-6733
PHELISHER: DOCUMENT TYPE: Journal; General Review
LANGUAGE: English
AB A review with ref8. Anal. support, such as methods development, along with identification and characterization of intermediates and impurities, are critical in the development of a chemical process. The preparation of a drug substance requires the development of anal. methods for monitoring reactions and identifying impurities. Methods development for a chiral drug mol. is more difficult as the method must be capable of monitoring the overall reaction as well as possible racemization of starting materials and products. Chiral methods are often required to monitor the reaction steps of a synthesia, however, the development of enanticmeric purity methods are time-consuming and expensive. The use of chiroptical detectors, such as CD (CD), optical rotation (OR) and vibrational CD (VCD), can help to reduce or eliminate the need to develop chiral monitoring methods and also to predict absolute configuration.

Recently, VCD
has shown remarkable success with the latter and currently holds the most promise as a general, direct method that can be used as an alternative to X-ray crystallog. Each of the mentioned techniques can help anal chemists to reduce the time associated with traditional enantiomeric will discuss the scope and limitations of these techniques for the rapid and routine determination of both enantiomeric excess and absolute configuration in chiral pharmaceuticals)

RN 8650-52-8 CAPLUS
CN Pyrazino(2,1-a)pyrido(2
```

Process for the preparation of a pyridinemethanol

L5 ANSWER 6 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN ACCESSION NUMBER: 2001:435071 CAPLUS

135:33494

DOCUMENT NUMBER:

TITLE:

(Continued) L5 ANSWER 6 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN

A pyridinemethanol compound useful as an important intermediate for the preparation of mirtazapine effective as an antidepressant can be hared by reducing a potassium salt of pyridinecarboxylic acid as represented by formula I with a metal hydride. Thus, 1-butanol 162, KOH 60.93, and 2-(4-methyl-2-phenylpiperazin-1-yl)pyridine-3-carbonitrile oxalate 40 gwere heated to give potassium temethyl-2-phenylpiperazin-1-yl)pyridine-3-carboxylate, which was reduced in THF with 12.5 g lithium aluminum hydride to give 21.78 g 2-(4-methyl-2-phenylpiperazin-1-yl)pyridine-3-methanol (yield 70.78%). 85550-53-8P, Mirtazapine RL: IMF (Industrial manufacture); SPN (Synthetic preparation); PREP (Preparation) of pyridinemethanol compound as intermediate for

(preparation of pyridinemethanol compound as intermediate for

mirtazapine) RN 85650-5 azapine) 85650-52-8 CAPLUS Pyrazino(2,1-a]pyrido(2,3-c][2]benzazepine, 1,2,3,4,10,14b-hexahydro-2-methyl- (9CI) (CA INDEX NAME)

REFERENCE COUNT:

THERE ARE 15 CITED REFERENCES AVAILABLE FOR 15

RECORD. ALL CITATIONS AVAILABLE IN THE RE

FORMAT

ANSWER 7 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN (Continued) OTHER SOURCE(S): CASREACT 135:33493

A pyridinemethanol compound serving as an important intermediate of mirtazapine useful as antidepressant can be prepared by reducing a potassium salt of a pyridinecarboxylic acid as represented by formula I with a metal

17

. Nydride. 85690-52-8P, Mirtazapine RL: IMF (Industrial manufacture); SPN (Synthetic preparation); PREP (Preparation) (preparation of pyridinemethanol compound as intermediate for

mirtazapine 8 6565-52-8 CAPLUS
CN Pyrazino[2,1-a]pyrido[2,3-c][2]benzazepine, 1,2,3,4,10,14b-hexahydro-2-methyl- (9CI) (CA INDEX NAME)

REFERENCE COUNT: THIS

THERE ARE 15 CITED REFERENCES AVAILABLE FOR

RECORD. ALL CITATIONS AVAILABLE IN THE RE

FORMAT

L5 ANSWER 7 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN
ACCESSION NUMBER: 2001:435070 CAPLUS
TITLE: PATENT ASSIGNEE(S): SOURCE: COPYRIGHT 2004 ACS on STN
2001:435070 CAPLUS
15:33493
Process for the preparation of a pyridinemethanol compounds of the pyridinemethanol compounds of the

FAMILY ACC. NUM. COUNT:

	ENT				KIN		DATE									ATE	
	2001						2001									0000	
	W:	ΑE,	AG,	AL,	AM,	AT,	AU,	AZ,	BA,	BB,	BG,	BR,	BY,	BZ,	CA,	CH,	CN,
		CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EE,	ES,	FI,	GB,	GD,	GE,	GH,	GM,	HR,
		ΗU,	ID,	IL,	IN,	IS,	JP,	KE,	KG,	KR,	ΚZ,	LC,	LK,	LR,	LS,	LT,	LU,
							MN,										
		SE,	SG,	SI,	SK,	SL,	TJ,	TM,	TR,	TT,	TZ,	UΑ,	UG,	US,	UZ,	VN,	YU,
							KG,										
	RW:						MZ,										
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		CF,	CG,	CI,	CM,		GN,										
	2000				A5		2001 2001	0618		AU 2	-000	6474	2		2	0000	811
WO	2001																
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		CR,	CU,	CZ,	DE,	DK,	DM.	DZ,	EE,	ES,	FI,	GB,	GD,	GE,	GH,	GM,	HR,
							JP,										
							MN,										
							TJ,						UG,	US,	UZ,	VN,	YU,
							KG,										
	RW:	GH,	GM,	KΕ,	LS,	MW,	MZ,	SD,	SL,	SZ,	TZ,	UG,	ZW,	ΑT,	ÐΕ,	CH,	CY,
							GB,								SE,	BF,	ВJ,
							GN,										
	2000		72		<b>A</b> 5		2001								2	0000	92B
	7714				B2		2004	0325									
	1238				A1		2004 2002 2003	0911		EP 2	000-	9629	09		2	0000	928
EP	1238				B1		2003	1126									
	R:						ES,				IT,	LI,	LU,	NL,	SE,	MC,	PT,
			SI,	LT,			RO,										
	2551				E		2003	1215		AT 20	200-	9629	09			0000	
	1238				T		2004 2004	0331		PT 20	200-	9629	09			0000	
	2209				T3 B1		2004	0701		ES 20	000-	9629	09			0000	
	6376				В1											0001	
US	2002	1352	5		B2		2002			US 20	301-	9819	19		2	0011	019
	6437				В2		2002	0820									
KITY	APPI	.N.	INFO	. :						JP 19	999-	3535	14		A 1	9991	213
										WO 20	000-	JP53	84	1	W 2	0000	811
										WO 21	000-	JP66	88	,	w 2	0000	928

L5 ANSWER 8 OF 12 CAPLUS COPYRIGHT 2004 ACS ON STN
ACCRESION NUMBER: 2001.196869 CAPLUS
DOCUMENT NUMBER: 135:12413
Anhydrous mitrazapine crystals and
process for the production thereof
INVENTOR(S): SIGNEE(S): SOURCE: SOURCE: SOURCE: SOURCE: PCT Int. Appl., 38 pp.
DOCUMENT TYPE: PATENT IMPROMATION: 2
FAMILY ACC. NUM. COUNT: 2
FAMILY ACC. NUM. COUNT: 2

FAMILY ACC. NUM. COUNT: PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO M: AU, CA, IN, JP, US
RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL,
PO 2001038329
A1 20010531 W0 2000-JP6687 20000928
RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL,
PO 2001038329
A1 20010531 NO 2001038329 A1 20010531 WO 2000-JP4835 20000719
W: AU, CA, IN, JP, US
RW: AT, BE, CH, CY, DE, DK, ES, PI, FR, GB, GR, IE, IT, LU, MC, NL,
PT, SE
EP 1225174 A1 20020724 PD 3-7-7-8 A1 B1 20020724 EP 2000-962908 1225174 B1 20040317 R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, FI, CY AT 261966 Е 20040415 AT 2000-962908 JP 1999-333049 PRIORITY APPLN. INFO.: JP 2000-67476 A 20000310 WO 2000-JP4835 ₩ 20000719

This document discloses: lowly hygroscopic anhydrous mirtazapine crystals exhibiting a moisture absorption of as low as 0.6 weights (or below) when stored for 500 h in the air under the conditions of 25°C, relative humidity of 75% and atmospheric pressure; a process for

WO 2000-JP6687

W 20000928

production of anhydrous mirtazapine crystals; crystals of mirtazapine hydrates and a process for the production thereof. According to the process, stable ambydrous mirtazapine crystals exhibiting low hygroscopicity can be produced by a simple industrial method, and the obtained ambydrous mirtazapine crystals are suitably usable as an antidepressant by virtue of their extremely low hygroscopicity.
341512-39-39 341512-91-19
RL: PEP (Physical, engineering or chemical process); PRP (Properties);

(Synthetic preparation); PREP (Preparation); PROC (Process) (anhydrous mirtazapine crystals and process for production

thereof)
341512-89-8 CAPLUS
Pyrazino[2,1-a]pyrido[2,3-c][2]benzazepine, 1,2,3,4,10,14b-hexahydro-2-methyl-, hydrate (2:1) (9CI) (CA INDEX NAME)

ANSWER 8 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN (Continued)



●1/2 H<sub>2</sub>O

341512-90-1 CAPLUS
Pyrazino[2,1-a]pyrido[2,3-c] [2]benzazepine, 1,2,3,4,10,14b-hexahydro-2-methyl-, hydrate (9CI) (CA INDEX NAME)

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85650-52-8P, Mirtazapine RL: PUR (Purification or recovery); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES

(anhydrous mirtazapine crystals and process for production

thereof)
85650-52-8 CAPLUS
Pyrazino[2,1-a]pyrido[2,3-c][2]benzazepine, 1,2,3,4,10,14b-hexahydro-2-methyl- (9CI) (CA INDEX NAME)

L5 ANSWER 9 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN
ACCESSION NUMBER: 2001:396868 CAPLUS
DOCUMENT NUMBER: 15:12412
TITLE: 24 Anhydrous mirtazapine crystals and process for producing the same IIshi, Elichi; Imamiya, Yoshiyuki
PATENT ASSIGNEE(S): Sumika Fine Chemicala Co., Ltd., Japan

PCT Int. Appl., 37 pp. CODEN: PIXXD2 DOCUMENT TYPE:

LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT:

SOURCE:

PATENT	INFOR	MATI	ON:														
	TENT						DATE		AF			ION				DATE	
	2001		29		A1		2001		WC								
			BE,					ES,	FI, F	R,	GB,	GR,	IE,	ΙT,	LU	, MC,	NL,
AI	2000	nani	99		A 5		2001	0604	AU	21	200-	6019	9			20000	719
WC	2001	0383	30		A1		2001	0531	WC	20	200-	JP66	87			20000	928
		AU,															
•		AT,		CH,				ES,	FI, F	R,	GΒ,	GR,	ΙE,	ΙT,	LU	, MC,	NL,
T.I	2000				N. C.		2001	0604	AU	21	200-	7447	,			20000	010
										21	300-	/44/	1			20000	928
P.	7635	174			81		2003	0724	EF	20	200-	0620	00			20000	000
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A1	2619	66			E		2004	0415	ΓA	20	200-	9629	n s			20000	928
119	2002	1033	72		A 1		2002	0801	US	20	102-	4149	5			20020	110
119	6552	189			B2		2002	0422	0.2	•			٠.			20020	
115	2003	1305	0.4		A 1		2003	0710	US	26	- 500	3372	77			20030	107
. 109	6723	845			B2		2004	0420									
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									JF	20	000-	6747	6		A	20000	310
									WC	20	000-	JP48	35		W	20000	719
									WC	20	000-	JP66	87		W	20000	928
									US	20	000-	6973:	29		A3	20001	027
									US	20	002-	4149	5		A3	20020	110
									US	20	303-	3372	77		Αŝ	20030	107
<b>3.</b> 0 ml								<b>.</b>									

AB This document discloses: lowly-hygroscopic mahydrous mirtazapine crystals showing moisture absorption of 0.6 weights or less when stored in the air at 25°C, at a relative humidity of 75% under atmospheric pressure for 500 h; a process for producing anhydrous mirtazapine crystals showing moisture absorption of 0.6 weights or less when stored in the air at 25°C at a relative humidity of 75% under atmospheric pressure for 500 h characterized by drying crystals of mirtazapine hydrate; and a process for producing crystals of

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ANSWER 8 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN (Continued)

REFERENCE COUNT:

THERE ARE 8 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE

FORMAT

ANSWER 9 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN (Continued) mirtazapine hydrate characterized by crysty. crude mirtazapine by using a water sol. polar org. solvent and water. By using this produmethod, stable anhyd. mirtazapine having little hygroscopicity can be produced by a convenient industrial method. The anhyd. mirtazapine crystals are usable as active ingredients in an

antidepressant. 341512-89-8 341512-90-1 IT

RL: PEP (Physical, engineering or chemical process); PRP (Properties); PROC (Process)

PROUGERS (Propagation of anhydrous mirtazapine crystals)
341512-89-8 CAPLUS
Pyrazino[2,1-a]pyrido[2,3-c][2]benzazepine, 1,2,3,4,10,14b-hexahydro-2-methyl-, hydrate (2:1) (9CI) (CA INDEX NAME)

●1/2 H<sub>2</sub>O

341512-90-1 CAPLUS
Pyrazino[2,1-a]pyrido[2,3-c][2]benzazepine, 1,2,3,4,10,14b-hexahydro-2-methyl-, hydrate (9C1) (CA INDEX NAME)

85650-52-8P, Mirtazapine
RL: PRP (Propertiee); SPN (Synthetic preparation); THU (Therapeutic use);
BIOL (Biological study); PREP (Preparation); USES (Uses)
(preparation of anhydrous mirtazapine crystals)
85650-52-8 CAPLUS
Pyrazino[2,1-a]pyrido[2,3-c] [2]benzazepine, 1,2,3,4,10,14b-hexahydro-2-methyl- (9CI) (CA INDEX NAME)

09/21/2004

L5 ANSWER 9 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN (Continued)

REFERENCE COUNT

THERE ARE 6 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE

FORMAT

ANSWER 10 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN (Continued)

A process for the preparation of a piperazine derivative, namely 2-(4-methyl-3-phenylpiperazin-1-yl)-3-cyanopyridine (1), comprises reacting 1-methyl-3-phenylpiperazine with 2-chloro-3-cyanopyridine in the presence of a base and an alkali metal halide in an aprotic polar organic solvent. This piperazine derivative I and its oxalate are useful as intermediates for the preparation of mirtazapine. Thus, 11.4 kg N-methylethanolamine was added dropwise to a solution of 20 kg styrene

oxide
in J8 kg DMP at .apprx.80°, stirred at .apprx.80° for J h,
and cooled to room temperature to give a DMF solution of
N-(2-hydroxyethyl)-Nmethyl-2-hydroxy-2-phenylethylamine which was added dropwise to a

solution of

45 kg SOC12 in 67.4 kg toluene at 0-25°, stirred at 45-55°
for 2 h, cooled at \$25°, treated dropwise with 95 kg H2O and
then with 30 weight\* aqueous KOH at 0-25°, and left to stand for phase
separation The organic and aqueous phase were separated and the aqueous
phase was extracted with
55 kg toluene, followed by combining the extract and the organic phase,
drying

drying over 4.8 kg MgSO4, treating with 4.8 kg activated clay and filtration,

and
washing with 19.9 kg PhMe to give a toluene solution of
N-(2-chloroethyl)·Nmethyl-2-chloro-2-phenylethylamine (II). To the toluene solution was
introduced 5.5 kg HCl(g) at 10-35° and stirred at 20-25° for
2 h and the precipitated crystals were filtered and washed with 69 kg
toluene to give 30 kg II.HCl. EtOAc (100 mL), 460 mg Bu4NBr, and 20.1 g
II.HCl were added to 132 g 28° aqueous NH3 at room temperature and
stirred at
40-45° for 3 h, followed by separating the organic layer and extracting
the aqueous

40-45° tor J h, rollowed by September 2008 the aqueous layer with EtoAc (2 + 30 mL) and the combined organic layer evaporated in vacuo to give 53.8% 1-methyl-3-phenylpiperazine (III) (7.1 g). III 5.51, 2-chloro-3-cyanopyridine 4.47, Et3N 4.1, and KI 5.20 g were added to 1

mL DMF and stirred at 125-130° for 24 h, followed by removing Et3N and DMF under reduced pressure, adding 20 mL H2O and 25 mL EtOAc to the residue, adjusting pH at 8-9 with 10% NaOH, separating the organic phase, and extracting the aqueous layer with EtOAc (3 + 30 mL), washing the combined the

combined the organic layer with 5% NaHCO3, drying and concentration, and crystallization from petroleum ether 36% I (3.14 g, 97.1% purity).

IT 85650-52-89, Mirtazapine RL: PNU (Preparation) (preparation of (methylphenylpiperazinyl)cyanopyridine ag

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L5 ANSWER 10 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN ACCESSION NUMBER: 2001:265372 CAPLUS

DOCUMENT NUMBER: 134:280862

TITLE:

Process for the preparation of a piperazine derivative INVENTOR (S) :

Maeda, Chiharu; Iishi, Biichi; Wang, Weigi; Imamiya, PATENT ASSIGNEE(S):

iounlyuxi Sumika Fine Chemicals Co., Ltd., Japan PCT Int. Appl., 31 pp. CODEN: PIXXD2 Patent SOURCE

DOCUMENT TYPE:

FAMILY ACC. NUM. COUNT:

PAT	TENT						DATE										
WO	2001						2001									0000	
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							GB,										
							GN,										
WO	2001	0233	45		A1		2001	0405	1	WO 2	000-	JP66!	50		2	0000	927
	W:	ΑE,	AG,	AL,	AM,	AT,	AU,	AZ,	BA,	BB,	BG,	BR,	BY,	BZ,	CA,	CH,	CN,
		CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EE,	ES,	ΡI,	GB,	GD,	GE,	GH,	GM,	HR,
		ΗU,	ID,	IL,	IN,	IS,	JP,	KE,	KG,	KR,	KZ,	LC,	LK,	LR,	LS,	LT,	LU,
		LV,	ΜA,	MD,	MG,	MK,	MN,	MW,	MX,	MZ,	NO,	NŻ,	PL,	PT,	RO,	RU,	SD,
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	7516						2002		i	AU 2	000-	7445	5			0000	
	6495						2002	1217		US 2						0001	
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OTHER SOURCE(S):

CASREACT 134:280862

ANSWER 10 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN L5

(Continued)

ANSWER 10 OF 12 Committed against Ministry and Ministry a

REFERENCE COUNT:

THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE

FORMAT

L5 ANSWER 11 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN
ACCESSION NUMBER:
DOCUMENT NUMBER:
13:321900
Novel synthesis and crystallization of piperazine ring-containing compounds such as mirtzatapine
INVENTOR(S):
SINGER, Claude; Liberman, Anita; Pinkelstein, Nina Teva Pharmaceutical Industries Ltd., Israel; Teva Pharmaceuticals Usa, Inc.
SOURCE:
PCT Int. Appl., 22 pp.
CODEN: PIXXD2
DOCUMENT TYPE:
LANGUAGE:
English

English

FAMILY ACC. NUM. COUNT: PATENT INFORMATION:

PA	PATENT NO.						DATE			APPI	LICAT	ION	NO.		1	DATE	,
	2000																
	₩:										, BG,						
		CU,	CZ,	DE,	DK,	DM,	DZ,	EE,	ES,	FI,	, GΒ,	GD,	GE,	GH,	GM,	, HR,	ΗU,
		ID,	IL,	IN,	IS,	JP,	KE,	KG,	KP.	KR.	KZ,	LÇ,	LK,	LR,	LS.	LT,	LU.
		LV,	MA,	MD,	MG,	MK,	MN,	MW,	MX,	NO.	NZ,	PL,	PT,	RO,	RU.	, SD,	SE,
		SG,	SI,	SK,	SL,	TJ,	TM,	TR,	TT,	TZ,	UA,	UG,	UZ,	VN,	YU,	ZA,	ZW,
		AM,	AZ,	BY,	KG,	KZ,	MD,	RU,	TJ,	TM							
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		DK,	ES,	FI,	FR,	GB,	GR,	IE,	IT,	LU	MC,	NL,	PT.	SE,	BF.	BJ,	CF,
		CG,	CI,	CM,	GA,	GN,	GW,	ML,	MR.	NE.	SN,	TD,	TG				
AU	2000	0435	77		A5		2000	1102		AU 2	2000-	4357	7			20000	418
TR	AU 2000043577 TR 200103028 EP 1178805						2002	0121		TR 2	2001-	2001	0302	8		20000	418
EP	1178	805			Al		2002	0213		EP :	2000-	9234	57			20000	418
	R:	AT.	BE.	CH.	DE.	DK.	ES.	FR.	GB.	GR.	, IT,	LI.	LU.	NL.	SE.	MC.	PT.
					LV.												
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7.A	2001	0082	20		A		2002	1205		ZA :	2001 -	8220				20011	005
HR	2001	0007	47		A1		2002	1231		HR 2	2001 -	747				20011	015
US	2001 2003 6576 2003	0880	94		A1		2003	0508		us a	2002-	2830	93			20021	030
US	6576	764			B2		2003	0610									
US	2003	1200	5 B		A1		2003	0626		US 2	2003 -	3487	57			20030	123
US	2004	1765	91		A1		2004	0909		us a	2004 -	8009	18		- 3	20040	316
PRIORITY										US 1	1999-	1300	47P		Р :	9990	419
										us :	2000-	1827	45P		P 2	20000	216
										us 2	2000-	5524	95		A3 2	20000	418
										wo a	2000-	US 10	357	,	w :	20000	418
										us 2	2001-	9006	16	1	A3 2	20010	706
										US 2	2002 -	2830	93		A3 :	20021	030
										us a	2003-	3684	41		B1 2	20030	220
OTHER SO	URCE	(s):			CASI	REAC	T 13	3:32	1900	; M2	ARPAT	133	321	900			

ANSWER 12 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN SSION NUMBER: 1999:753085 CAPLUS MENT NUMBER: 132:458 ACCESSION NUMBER

DOCUMENT NUMBER: TITLE:

132:458
Use of tricyclic antidepressants for local analgesia Sawynok, Jana; Esser, Mike; Reid, Allison
Dalhousie University, Can.
PCT Int. Appl., 93 pp.
CODEN: PIXXD2
Patent INVENTOR(S):

PATENT ASSIGNEE(S):

SOURCE:

DOCUMENT TYPE:

English

FAMILY ACC. NUM. COUNT: PATENT INFORMATION:

			NO.															
			598															
		W:	AE,	AL,	AM,	AT,	AU,	AZ,	BA,	BB,	BG,	BR,	BY,	CA,	CH,	CN,	CU,	CZ,
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			JP,	KE,	KG,	KP,	KR,	KZ,	LC,	LK,	LR,	LS,	LT,	LU,	LV,	MD,	MG,	MK,
			MN,	MW,	MX,	NO,	NZ,	PL,	PT,	RO,	RU,	SD,	SE,	SG,	SI,	SK,	SL,	TJ,
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			MD,	RU,	ŤJ,	TM												
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			CI,	CM,	GA,	GN,	GW,	ML,	MR,	NE,	SN,	TD,	TG					
	US	6211	171			В1		2001	0403		US 1	998-	8170	9		. 1	9980	519
	CA	2333	310			AA		1999	1125		CA 1	999-	2333	310		1	9990	519
	ΑU	9938	1077			A1		1999	1206	- 1	AU 1	999-	3807	7		1	9990	519
	TR	2000	0343	8		T2		2001	0321		TR 2	000-	2000	0343	В	1	9990	519
	BR	9911	046			A		2001	0424		BR 1	999-	1104	6		1	9990	519
	ΕP	1094	818			A1		2001	0502		EP 1	999-	9205	10		1	9990	519
		R:	AT,	BE,	CH,	DE,	DK,	ES,	FR,	GB,	IT,	LI,	NL,	SE,	IE,	FΙ		
	JP	2002	5154	38		T2		2002	0528		JP 2	000-	5492	63		1	9990	519
	NZ	5089	91			A		2003	0926	- 1	NZ 1	999-	5089	91		1	9990	519
	NO	2000	0058	35		A		2001	0118		NO 2	000-	5835			2	0001	117
RIC	RIT	APE	LN.	INFO	. :					1	US 1	998-	8170	9	- 2	A2 1	9980	519
										1	WO 1	999-	CA44	9	1	₩ 1	9990	519

OTHER SOURCE(S): MARPAT 132:458
AB When administered locally, tricyclic, second generation and third generation antidepressants, such as amitriptyline and desipramine, have been shown to produce analgesia in a subject having a site of local discomfort. The analgesic effect of such antidepressants, when administered locally is equal to that achieved by systemic administration and lasts longer. The invention provides compns. containing tricyclic, second

and lasts longer. The invention provides compns. containing tricyclic, second generation and third generation antidepressants for local administration, such as those formulated for topical application, or for injection in slow-release delivery vehicles, and methods for their use for producing local analgesia. When administered locally onto a neuropathic paw, amitriptyline at a dose of 100 nmol showed antinociceptive effect, almost completely reversing the thermal hyperalgesia in nerve-injured rats without systemic effects. Despite considering the possibility of a potential involvement of antihistaminic, antiadrenergic, antiserotonergic, and anticholinergic actions in the action of amitriptyline, the only mechanism clearly implicated in the action of amitriptyline was some form of interaction with adenosine receptors as peripheral analgesia was partially blocked by the adenosine receptor asgonist caffeine.

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ANSWER 11 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN (Continued)

Mirtazapine, useful in treating depression (no data), was prepared by reacting pyridine I [R1 = CH2OH, CH2C1, CH2Br, CH2I; R2 = NH2] with ound

compound
[1] [R3 - C1, P, Br, I] followed by treating the resulting piperazine III with H2SO4. The mirtazapine intermediate

1-(3-carboxypyridly-2)-4-methyl2-pinenylpiperazine may be made by hydrolyzing 1-(3-cyanopyridyl-2)-4methyl-2-pinenylpiperazine with KOH at a temperature of at least about 130°C. The present invention also relates to new processes for recrystn- of mirtazapine form crude mirtazapine.

IT 8550-52-89, Mirtazapine
R1: BAC (Biological activity or effector, except adverse); BSU (Biological)

logical study, unclassified); IMF (Industrial manufacture); FUR (Purification or recovery); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (novel synthesis and crystallization of piperazine ring-containing compds. such as mirtozapine) 85650-52-8 CAPLUS Pyrazino[2,1-a]pyrido[2,3-c][2]benzazepine, 1,2,3,4,10,14b-hexahydro-2-methyl- (9CI) (CA INDEX NAME)

REFERENCE COUNT:

THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE

FORMAT

ANSWER 12 OF 12 CAPLUS COPYRIGHT 2004 ACS on STN (Continued)

RL: BAC (Biological activity or effector, except adverse); BSU

(Biological study, unclassified); THU (Therapeutic use); BIOL (Biological study);

USES

(Uses)

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REFERENCE COUNT:

THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS . ALL CITATIONS AVAILABLE IN THE RE

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Page 12

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COST IN U.S. DOLLARS	SINCE FILE	TOTAL
	ENTRY	SESSION
FULL ESTIMATED COST	61.64	217.27
DISCOUNT AMOUNTS (FOR QUALIFYING ACCOUNTS)	SINCE FILE	TOTAL
	ENTRY	SESSION
CA SUBSCRIBER PRICE	-8.40	-8.40

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